Making Privacy-Preserving Data Mining Practical with Smartcards

Andrew Y. Lindell†

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Abstract

Data mining provides large benefits to the commercial, government and homeland security sectors, but the aggregation and storage of huge amounts of data about citizens inevitably leads to an erosion of privacy. To achieve the benefits that data mining has to offer, while at the same time enhancing privacy, we need technological solutions that simultaneously enable data mining while preserving privacy. This need has been recognized by the US government, as can be seen in the February 2008 report on data mining by the Office of the Director of National Intelligence (see pages 9-12). In this paper, we present surprisingly simple and extraordinarily efficient protocols for a number of non-trivial tasks related to privacy-preserving data mining. Our protocols use standard smartcards and standard smartcard infrastructure, and are the first truly practical solutions for these problems that provide strong security guarantees.

1 Introduction

Background – privacy-preserving data mining. It is well known that the privacy of citizens has significantly eroded over the last two decades. The advent of huge databases and the tools to manipulate the data in those databases (e.g., via data mining) means that an enormous amount of information about citizens is in the hands of governments and commercial entities. This information is being used for many social and commercial purposes, and also in the interests of homeland security where this latter use is believed to be one of the prime sources of the erosion of citizens’ privacy (at least in the USA).

There are two main approaches regarding how to deal with the problems of privacy that arise today. The first is a legal and policy approach whereby organizations are limited in how they store and use data based on privacy law and public policy. It typically works by evaluating scenarios and deciding if the privacy breach caused by using the data in a given way is justified or not. The second approach is technological, and provides enforced privacy guarantees through cryptographic means. This approach has the capability of enabling the data to be used while preventing (or at least minimizing) privacy breaches. Thus, technological solutions can actually enable greater data utilization, while providing strong privacy guarantees. Furthermore, technological solutions enforce good behavior and so prevent privacy breaches even in the presence of malicious entities. This is in contrast to the legal and policy approach, which relies on the deterrence of penalties.

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†Aladdin Knowledge Systems and Bar-Ilan University, Israel. Email: andrew.lindell@aladdin.com, lindell@cs.biu.ac.il.
To demonstrate the technological approach, consider a government project for improving social services by studying the health status of people on social welfare. The importance of such a project is clear, but it requires sharing sensitive health information from hospitals and HMOs with the government, and cross referencing it with social welfare records, so obviously infringing upon the privacy of patients in an unacceptable manner. Cryptographic protocols from the field of secure multiparty computation (see below) can solve this problem and allow the computation to be carried out without actually sharing the data. Each participating organization learns only the output, and no one learns anything about the databases of the other organizations. Furthermore, privacy is guaranteed even if one or more of the agencies attempt to learn more than they are allowed.

Although secure multiparty computation can be used to solve the aforementioned problems, these cryptographic protocols are typically very expensive computationally, and are far from efficient enough to be used in practice. Furthermore, most protocols known for this setting are only secure if the adversary is assumed to be semi-honest, meaning that it follows the protocol specification (but just tries to learn more information than it should from the protocol transcript). This assumption is unrealistic in many – if not most – applications of privacy-preserving data mining. We stress that even under this assumption, most known protocols are not efficient enough to be used in practice on large data sets. The problem becomes even more acute when considering malicious adversaries who can follow any arbitrary strategy to attack the protocol. In this case, very few efficient protocols exist, We conclude that although cryptography can theoretically be used to solve these privacy problems, such solutions are still far from being practical.

The US government has expressed interest in solutions of this type, as is explicitly stated in the Data Mining Report (February 2008) of the Office of the Director of National Intelligence. The following is a quote from page 11 of that report (the context is a request for research proposals on the topic):

> Technology areas of particular interest include (but are not limited to) the following:

- **Secure multi-party function evaluation.** While the mathematics of this technology has been studied for some time, practical applications have been lacking. Projects that can demonstrate how this technology could be applied to problems of realistic scale and complexity will be of interest. For example, agencies at different levels of the U.S. government, as well as selected foreign government and private sector entities, are all interested in comparing intelligence information concerning terrorist financing, yet these entities may be unwilling or unable to disclose their own detailed information for fear of violating privacy rules or compromising sources and methods. Secure multi-party function evaluation might provide a way for such entities to cooperate in computing the results regarding such financial flows without either sharing the information with each other or resorting to a trusted third party to compute it for them.

**Background – secure multiparty computation.** In the setting of secure multiparty computation, a set of parties with private inputs wish to jointly compute some functionality of their inputs. Loosely speaking, the security requirements of such a computation are that (i) nothing is learned from the protocol other than the output (privacy), (ii) the output is distributed according to the prescribed functionality (correctness), and (iii) parties cannot make their inputs depend on other parties’ inputs. For example, in a secure election, privacy guarantees that no party’s individual vote

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We remark that not all privacy problems can be modeled in this way. Specifically, in the case that tables summarizing the data must be released, other solutions (like data perturbation) must be used.
is learned (rather, only the outcome is revealed), correctness guarantees that the candidate with the most votes is the one that wins the election, and independence of inputs essentially means that an individual’s vote is cast without any information about how others have voted. Secure multiparty computation forms the basis for a multitude of tasks, including those as simple as coin-tossing and agreement, and as complex as electronic voting and auctions, electronic cash schemes, anonymous transactions, remote game playing (a.k.a. “mental poker”), and privacy-preserving data mining.

The security requirements in the setting of multiparty computation must hold even when some of the participating parties are adversarial. In this paper, we consider malicious adversaries that can arbitrarily deviate from the protocol specification. It has been shown that, with the aid of suitable cryptographic tools, any two-party or multiparty function can be securely computed [25, 14, 13, 4, 7] in the presence of malicious adversaries. However, protocols that achieve this level of security are rarely efficient enough to be used in practice, even for relatively small inputs.

Recently, there has been much interest in the data mining and other communities for secure protocols for a wide variety of tasks. This interest exists not only in academic circles, but also in industry and government, in part due to the growing conflict between the privacy concerns of citizens and the homeland security needs of governments. Unfortunately, however, truly practical protocols that also achieve proven security are currently far out of reach. This is especially the case when security in the presence of malicious adversaries is considered (see related work for other models).

**Smartcard-aided secure computation.** In this paper, we construct protocols that use smartcards in addition to standard network communication. Specifically, in addition to sending messages over a network, the participating parties may initialize smartcards in some way and send them to each other. Of course, such a *modus operandi* is only reasonable if this is not over-used. In all of our protocols, one party initializes a smartcard and sends it to the other, and that is all. Importantly, it is also sufficient to send a smartcard once, which can then be used for many executions of the protocol (and even for different protocols). This model is clearly not suitable for protocols that must be run by ad hoc participants over the Internet (e.g., for secure eBay auctions or secure Internet purchases). However, we argue that it is suitable whenever parties with non-transient relationships need to run secure protocols. Thus, this model is suitable for the purpose of *privacy-preserving data mining* between commercial, governmental and security agencies. We construct practical two-party protocols for the following tasks:

- **Secure set intersection:** This problem is of great interest in practice and has many applications. Some examples are: finding out if someone is on two security agencies’ list of suspects, finding out if someone illegally receives social welfare from two different agencies, finding out what patients receive medical care at two different medical centers, and so on. This problem has received a lot of attention due to its importance; see [22, 11, 18] for some examples. We present a protocol that is far more efficient than any known current solutions, and provides the highest level of security. Our protocol is surprisingly simple, and essentially requires one party to carry out one 3DES or AES computation on each set element (using a regular PC), while the other party carries out the same computations using a smartcard. Thus, for sets comprised of 30,000 elements, the first party’s computation takes approximately 20 seconds and the second party’s computation takes approximately 30 minutes (but can be parallelized, meaning that using 10 smartcards, the computation would take approximately 3 minutes). In our protocol, only the second party receives output.
Oblivious database search: In this problem, a client is able to search a database held by a server so that: (a) the client can only carry out a single search (or a predetermined number of searches authorized by the server), and learns nothing beyond the result of the authorized searches; and (b) the server learns nothing about the searches carried out by the client. We remark that searches are as in the standard database setting: the database has a “key attribute” and each record has a unique key value; searches are then carried out by inputting a key value – if the key exists in the database then the client receives back the entire record; otherwise it receives back a “non-existent” reply. This problem has been studied in [8, 10] and has important applications to privacy. For example, consider the case of homeland security where it is sometimes necessary for one organization to search the database of another. In order to minimize information flow (or stated differently, in order to preserve the “need to know” principle), we would like the agency carrying out the search to have access only to the single piece of information it is searching for. Furthermore, we would like the value being searched for to remain secret. Another, possibly more convincing, application comes from the commercial world. The LexisNexis database is a paid service provided to legal professionals that enables them – among other things – to search legal research and public records, for the purpose of case preparation. Now, the content of searches made for case preparation is highly confidential; this information reveals much about the legal strategy of the lawyers preparing the case, and would allow the other side to prepare counter-arguments well ahead of time. It is even possible that revealing the content of some of these searches may breach attorney-client privilege. We conclude that the searches made to LexisNexis must remain confidential, and even LexisNexis should not learn them (either because they may be corrupted, or more likely, a breach to their system could be used to steal this confidential information). Oblivious database search can be used to solve this exact problem. We present a protocol for oblivious database search that reaches a level of efficiency that is almost equivalent to a non-private database search. Once again, we achieve provable security in the presence of malicious adversaries.

Oblivious document search: A similar but seemingly more difficult problem to that of oblivious database search is that of oblivious document search. Here, the database is made up of a series of unstructured documents and a keyword query should return all documents that contain that query. This is somewhat more difficult than the previous problem because of the dependence between documents (the client should not know if different documents contain the same keyword if it has not searched them both). Nevertheless, using smartcards, we present a highly efficient protocol for this problem, that is provably secure in the presence of malicious adversaries. We remark that in many cases, including the LexisNexis example above, what is really needed is the unstructured document search here.

We provide rigorous proofs of security for all of our protocols, under the most stringent definitions of security for the case of malicious adversaries that may follow any arbitrary polynomial-time strategy (cf. [5, 13] following [15, 3, 21]). Thus, the highest level of security is achieved. As we have mentioned, however, we use a smartcard to aid in the computation, unlike the standard model of computation. As will become clear, this gives extraordinary power and makes it possible to construct protocols that are far more efficient than anything previously known. It is important to note that by using smartcards we are opening up the system to additional attacks. However, we believe that this is a reasonable tradeoff, especially since without such “help”, no reasonable solutions exist. See more discussion on this issue below.

The security of cryptographic protocols all assume that the honest party’s systems are not compromised, and that the software being run accurately follows the instructions of the protocol. In addition, we now also need to assume that the smartcards being used cannot be broken into.
**Standard smartcards – what and why.** We stress that our protocols are designed so that any standard smartcard can be used. Before proceeding we explain why it is important for us to use **standard** – rather than special-purpose – smartcards, and what functionality is provided by such standard smartcards. The reason for our insistence on standard smartcards is twofold:

1. **Ease of deployment:** It is much easier to actually deploy a protocol that uses standard smartcard technology. This is due to the fact that many organizations have already deployed smartcards, typically for authenticating users. However, even if this is not the case, it is possible to purchase any smartcard from essentially any smartcard vendor.³

2. **Trust:** If a special-purpose smartcard needs to be used for a secure protocol, then we need to trust the vendor who built the smartcard. This trust extends to believing that they did not incorrectly implement the smartcard functionality on purpose or unintentionally. In contrast, if standard smartcards can be used then it is possible to use smartcards constructed by a third-party vendor (and possibly constructed before our protocols were even designed). In addition to reducing the chance of malicious implementation, the chance of an unintentional error is much smaller, because these cards have been tried and tested over many years.

We remark that Javacards can also be considered for the application that we are considering. Javacards are smartcards with the property that special-purpose Java applets can be loaded onto them in order to provide special-purpose functionality. Such solutions are also reasonable. However, it does make deployment slightly more difficult as already-deployed smartcards (that are used for smartcard logon and VPN authentication for example) cannot be used. Furthermore, it is necessary to completely trust whoever wrote the applet; this can be remedied by having an open-source applet which can be checked before loaded. Therefore, protocols that do need smartcards with some special-purpose functionality can be used, but are slightly less desirable.

**A trusted party?** At first sight, it may seem that we have essentially introduced a trusted party into the model, and so of course everything becomes easy. We argue that this is not the case. First, a smartcard is a very specific type of trusted party, with very specific functionality (especially if we focus on standard smartcards). Second, due to it being weak hardware, a smartcard cannot carry out a computation on large inputs. Thus, even a special-purpose smartcard cannot directly compute set intersection on inputs of size 30,000. Finally, smartcards are used in practice and are becoming more and more ubiquitous. Thus, our model truly is a realistic one, and our protocols can easily be deployed in practice.

**Trusting smartcards.** The security of our protocols is preserved as long as the smartcards used are not broken. We base this assumption on the fact that modern smartcards are widely deployed today – mostly for authentication – and are rarely broken (we stress that we refer to smartcards that have passed certification like FIPS or Common Criteria, and not microprocessors with basic protection). Of course, we are referring to smartcards that provide a high level of **physical security**, and not simple microcontrollers. Great progress has been made over the years to make it very hard to access the internal memory of a smartcard. Typical countermeasures against physical attacks on a smartcard include: shrinking the size of transistors and wires to 200nm (making them too small for analysis by optical microscopes and too small for probes to be placed on the wires), multiple layering (enabling sensitive areas to be buried beneath other layers of the controller), protective

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³Of course, the notion of a “standard” smartcard is somewhat problematic because different vendors construct smartcards with different properties. We therefore rely on properties that we know are in the widely-used smartcards sold by Siemens.
layering (a grid is placed around the smartcard and if this is cut, then the chip automatically erases all of its memory), sensors (if the light, temperature etc. are not as expected then again all internal memory is immediately destroyed), bus scrambling (obfuscating the communication over the data bus between different components to make it hard to interpret without full reverse engineering), and glue logic (mixing up components of the controller in random ways to make it hard to know what components hold what functionality). For more information, we refer the reader to [24]. Having said the above, there is no perfect security mechanism and this includes smartcards (likewise, there are better and worse smartcards, some being more vulnerable to attack and others needing highly specialized equipment and expertise). Nevertheless, we strongly believe that it is a reasonable assumption to trust the security of high-end smartcards (for example, smartcards that have FIPS 140-2, level 3 or 4 certification). Our belief is also supported by the computer-security industry: smartcards are widely used today as an authentication mechanism to protect security-critical applications.

Smartcard authenticity. As we have mentioned, our protocols require one party to initialize a smartcard and send it to the other. Furthermore, the recipient of the smartcard needs to trust that the device that it receives is really a smartcard of the specified type. Since our protocols rely on standard smartcard technology only, this problem essentially reduces to identifying that a given device was manufactured by a specified smartcard vendor. In principle, this problem is easily solved by having smartcard manufacturers initialize all devices with a public/private key pair, where the private key is known only to the manufacturer (and their devices). Then, given a device and the manufacturer’s public key it is possible to verify that the device is authentic using a simple challenge/response mechanism. This solution is not perfect because given the compromise of a single smartcard, it is possible to manufacture multiple forged devices. This is highly undesirable because it means that the incentive to carry out such an attack can be very high. This can be improved by using different public keys for different batches (or even a different key for every device, although this is probably too cumbersome in practice). To the best of our knowledge, such a mechanism is typically not implemented today (rather, symmetric keys are used instead). Nevertheless, it could be implemented without much difficulty and so is not a serious barrier.

Related work. Secure computation has been studied at great length for over two decades. However, the study of highly-efficient protocols for problems of interest has recently been intensively studied under the premise of “privacy-preserving data mining”, starting with [20]. Most of the secure protocols for this setting have considered the setting of semi-honest adversarial behavior, which is often not sufficient. Indeed, highly-efficient protocols that are proven secure in the presence of malicious adversaries and using the simulation-based approach are few and far between; one notable exception being the work of [1] for securely computing the median. Therefore, researchers have considered other directions. One possibility is to consider privacy only; see for example [9, 22, 6]. A different direction considered recently has been to look at an alternative adversary model that guarantees that if an adversary cheats then it will be caught with some probability [2, 16]. We stress that our protocols are more efficient than all of the above and also reach a higher level of security than most. (Of course, we have the additional requirement of a smartcard and thus a comparison of our protocols is not really in place; rather we view this as a comparison of models.)
2 Standard Smartcard Functionality

In this section we describe what functionality is provided by standard smartcards. Our description does not include an exhaustive list of all available functions. Rather we describe the most basic functionality and some additional specific properties that we use:

1. **On-board cryptographic operations:** Smartcards can store cryptographic keys for private and public-key operations. Private keys that are stored (for decryption or signing/MACing) can only be used according to their specified operation and cannot be exported. We note that symmetric keys are always generated outside of the smartcard and then imported, whereas asymmetric keys can either be imported or generated on-board (in which case, no one can ever know the private key). Two important operations that smartcards can carry out are basic block cipher operations and CBC-MAC computation. These operations may be viewed as pseudorandom function computations, and we will use them as such. The symmetric algorithms typically supported by smartcards use 3DES and/or AES, and the asymmetric algorithms use RSA (with some also supporting Elliptic curve operations).

2. **Authenticated operations:** It is possible to “protect” a cryptographic operation by a logical test. In order to pass such a test, the user must either present a password or pass a challenge/response test (in the latter case, the smartcard outputs a random challenge and the user must reply with a response based on some cryptographic operation using a password or key applied to the random challenge).

3. **Access conditions:** It is possible to define what operations on a key are allowed and what are not allowed. There is great granularity here. For all operations (e.g., use key, delete key, change key and so on), it is possible to define that no one is ever allowed, anyone is allowed, or only a party passing some test is allowed. We stress that for different operations (like use and delete) a different test (e.g., a different password) can also be defined.

4. **Special access conditions:** There are a number of special operations; we mention two here. The first is a usage counter; such a counter is defined when a key is either generated or imported and it says how many times the key can be used before it “expires”. Once the key has expired it can only be deleted. The second is an access-granted counter and is the same as a usage counter except that it defines how many times a key can be used after passing a test, before the test must be passed again. For example, setting the access-granted counter to 1 means that the test (e.g., passing a challenge/response) must be passed every time the key is used.

5. **Secure messaging:** Operations can be protected by “secure messaging” which means that all data is encrypted and/or authenticated by a private (symmetric) key that was previously imported to the smartcard. An important property of secure messaging is that it is possible to receive a “receipt” testifying to the fact that the operation was carried out: when secure messaging with message authentication is used, this receipt cannot be tampered with by a man-in-the-middle adversary. Thus, it is possible for one party to initialize a smartcard and send it to another party, with the property that the first party can still carry out secure operations with the smartcard without the second party being able to learn anything or tamper with the communication in an undetected way. One example where this may be useful is that the first party can import a secret key to the smartcard without the second party who physically holds the card learning the key. We remark that it is typically possible
to define a different key for secure messaging that is applied to messages being sent to the
smartcard and to messages that are received from the smartcard (and thus it is possible to
have unidirectional secure messaging only).

6. Store files: A smartcard can also be used to store files. Such files can either be public (meaning
anyone can read them) or private (meaning that some test must be passed in order to read
the file). We stress that private keys are not files because such a key can never be read out
of a smartcard. In contrast a public key is essentially a file.

We stress that all reasonable smartcards have all of the above properties, with the possible exception
of the special access conditions mentioned above in item 4. We do not have personal knowledge of
any smartcard that does not, but are not familiar with all smartcard vendors. We do know that
the smartcards of Siemens (and others) have these two counters.

3 Secure Set Intersection

In this section we show how to securely compute the secure set intersection problem defined by
\( F_\cap(X, Y) = X \cap Y \), where \( X = \{x_1, \ldots, x_{n_1}\} \) and \( Y = \{y_1, \ldots, y_{n_2}\} \), and one party receives output
(while the other learns nothing). We note that the problem of securely computing the function \( f_{eq} \),
declared as \( f_{eq}(x, y) = 1 \) if and only if \( x = y \), is a special case of set intersection. Thus, our protocol
can also be used to compute \( f_{eq} \) with extremely high efficiency.

The basic idea behind our protocol is as follows. The first party \( P_1 \), with input set \( X = \{x_1, \ldots, x_{n_1}\} \) initializes a smartcard with a secret key \( k \) for a pseudorandom permutation \( F \) (i.e., \( F \)
is a block cipher like 3DES or AES). Then, it computes \( X_F = \{F_k(x_1), \ldots, F_k(x_{n_1})\} \) and sends \( X_F \)
and the smartcard to the second party. The second party \( P_2 \), with input \( Y = \{y_1, \ldots, y_{n_2}\} \) then
uses the smartcard to compute \( F_k(y_i) \) for every \( i \), and it outputs every \( y_i \) for which \( F_k(y_i) \in X_F \). It
is clear that \( P_1 \) learns nothing because it does not receive anything in the protocol. Regarding \( P_2 \),
if it uses the smartcard to compute \( F_k(y) \) for some \( y \in X \cap Y \), then it learns that \( y \in X \), but this
is the information that is supposed to be revealed! In contrast, for every \( x \in X \) that for which \( P_2 \)
does not use the smartcard to compute \( F_k(x) \), it learns nothing about \( x \) from \( X_F \) (because \( F_k(x) \)
just looks like a random value).

Despite the above intuitive security argument, there are a number of subtleties that arise. First,
nothing can stop \( P_2 \) from asking the smartcard to compute \( F_k(y) \) for a huge number of \( y \)'s (taking
this to an extreme, if \( X \) and \( Y \) are social security numbers, then \( P_2 \) can use the smartcard to compute
the permutation on all possible social security numbers). We prevent this by having \( P_1 \)
initialize the key \( k \) on the smartcard with a usage counter set to \( n_2 \). Recall that this means that
the key \( k \) can be used at most \( n_2 \) times, after which the key can only be deleted. In addition to
the above, in order to fully prove the security of our protocol, we need to have party \( P_2 \) compute
\( F_k(y) \) for all \( y \in Y \) before \( P_1 \) sends it \( X_F \) (this is a technicality that comes out of the proof). In
order to achieve this, we have \( P_1 \) initialize \( k \) with secure messaging for authentication using an
additional key \( k_{init} \). This initialization is an association between the key \( k \) and the key \( k_{init} \) so that
when a command to delete \( k \) is issued to the smartcard, the confirmation by the smartcard that
this operation took place is authenticated using a message authentication code keyed with \( k_{init} \)
 stanard smartcards support such a configuration). Observe that given this initialization, \( P_2 \) can
prove to \( P_1 \) that it has deleted \( k \) before \( P_1 \) sends \( X_F \) (note that \( P_1 \) knows \( k_{init} \) and so can verify
that the MAC is correct).
3.1 The Basic Protocol

Let $F$ be a pseudorandom permutation with domain $\{0,1\}^n$ and keys that are chosen uniformly from $\{0,1\}^n$ (this is for simplicity only).

Protocol 1 (secure set intersection – $P_2$ only receives output)

- **Inputs:** Party $P_1$ has a set of $n_1$ elements and party $P_2$ has a set of $n_2$ elements; all elements are taken from $\{0,1\}^n$, where $n$ also serves as the security parameter.

- **Auxiliary inputs:** Both $P_1$ and $P_2$ are given $n_1$ and $n_2$, as well as the security parameter $n$.

- **SmartCard Initialization:** Party $P_1$ chooses two keys $k, k_{\text{init}} \leftarrow \{0,1\}^n$ and imports $k$ into a smartcard $SC$ for usage as the key to a block cipher (pseudorandom permutation). $P_2$ sets the usage counter of $k$ to be $n_2$ and defines that the confirmation to $\text{DeleteObject}$ is MACed using the key $k_{\text{init}}$. $P_1$ sends $SC$ to $P_2$ (this takes place before the protocol below begins).

- **The protocol:**
  1. $P_2$’s first step:
     - (a) Given the smartcard $SC$, party $P_2$ computes the set $Y_F = \{(y, F_k(y)) | y \in Y\}$.
     - (b) Next, $P_2$ issues a $\text{DeleteObject}$ command to the smartcard to delete $k$ and receives back the confirmation from the smartcard.
     - (c) $P_2$ sends the delete confirmation to $P_1$.
  2. $P_1$’s step: $P_1$ checks that the $\text{DeleteObject}$ confirmation states that the operation was successful and verifies the MAC-tag on the response. If either of these checks fail, then $P_1$ outputs $\perp$ and halts. Else, it computes the set $X_F = \{F_k(x) | x \in X\}$, sends it to $P_2$ and halts.
  3. $P_2$’s second step: $P_2$ outputs the set $\{y | F_k(y) \in X_F\}$ and halts.

The following theorem is formally proven in [17].

**Theorem 2** Assume that $F$ is a pseudorandom permutation over $\{0,1\}^n$. Then, Protocol 1 securely computes the function $F_\cap(X, Y) = X \cap Y$ in the presence of malicious adversaries, where only $P_2$ receives output.

**Reusing the smartcard.** Although we argue that it is realistic for parties in non-transient relationships to send smartcards to each other, it is not very practical for them to do this every time they wish to run the protocol. Rather, they should be able to do this only once, and then run the protocol many times. This is achieved in a very straightforward way using secure messaging. Specifically, $P_1$ initializes the smartcard so that a key for a block cipher (pseudorandom permutation) can be imported, while encrypted under a secure messaging key $k_{\text{sm}}$. This means that $P_1$ can begin the protocol by importing a new key $k$ to the smartcard (with usage counter $n_2$ for the size of the set in this execution and protected with $k_{\text{init}}$ for delete as above). This means that $P_1$ only needs to send a smartcard once to $P_2$ and the protocol can be run many times, using standard network communication only.

\[\text{We assume that } SC \text{ is sent via a secure carrier and so cannot be accessed by an adversary in the case that } P_1 \text{ and } P_2 \text{ are both honest. This assumption can be removed by protecting the use of } k \text{ with a random password of length } n. \text{ Then, } P_1 \text{ sends the password to } P_2 \text{ after it receives } SC.\]
3.2 Experimental Results

We implemented our protocol for set intersection using the eToken smartcard of Aladdin Knowledge Systems and received the following results:

<table>
<thead>
<tr>
<th>Size of each set</th>
<th>Run-time of $P_1$</th>
<th>Run-time of $P_2$</th>
<th>Avg time per element for $P_2$</th>
</tr>
</thead>
<tbody>
<tr>
<td>1000</td>
<td>2 sec</td>
<td>52 sec</td>
<td>52 ms.</td>
</tr>
<tr>
<td>5000</td>
<td>5 sec</td>
<td>262 sec</td>
<td>52 ms.</td>
</tr>
<tr>
<td>10000</td>
<td>8 sec</td>
<td>493 sec</td>
<td>49 ms.</td>
</tr>
<tr>
<td>20000</td>
<td>14 sec</td>
<td>1196 sec</td>
<td>60 ms.</td>
</tr>
<tr>
<td>30000</td>
<td>21 sec</td>
<td>1982 sec</td>
<td>66 ms.</td>
</tr>
</tbody>
</table>

These results confirm the expected complexity of approximately 50 milliseconds per smartcard operation. We remark that no code optimizations were made and the running-time can be further improved (although the majority of the work is with the smartcard and this cannot be made faster without further improvements in smartcard technology).

4 Oblivious Database Search

In this section we study the problem of oblivious database search. The aim here is to allow a client to search a database without the server learning the query (or queries) made by the client. Furthermore, the client should only be able to make a single query (or, to be more exact, the client should only be able to make a search query after receiving explicit permission from the server). This latter requirement means that the client cannot just download the entire database and run local searches. We present a solution whereby the client downloads the database in encrypted form, and then a smartcard is used to carry out a search on the database by enabling the client to decrypt a single database record.

We now provide an inaccurate description of our solution. Denote the $i$th database record by $(p_i, x_i)$, where $p_i$ is the value of the search attribute (as is standard, the values $p_1, \ldots, p_N$ are unique). We assume that each $p_i \in \{0,1\}^n$, and for some $\ell$ each $x_i \in \{0,1\}^{\ell n}$ (recall that the pseudorandom permutation works over the domain $\{0,1\}^n$; thus $p_i$ is made up of a single “block” and $x_i$ is made up of $\ell$ blocks). Then, the server chooses a key $k$ and computes $t_i = F_k(p_i)$, $u_i = F_k(t_i)$ and $c_i = E_{u_i}(x_i)$, for every $i = 1, \ldots, N$. The server sends the encrypted database $(t_i, c_i)$ to the client, together with a smartcard $SC$ that has the key $k$. The key $k$ is also protected by a challenge/response with a key $k_{test}$ that only the server knows; in addition, after passing a challenge/response, the key $k$ can be used only twice (this is achieved by setting the access-granted counter of $k$ to 2; see Section 2). Now, since $F$ is a pseudorandom function, the value $t_i$ reveals nothing about $p_i$, and the “key” $u_i$ is pseudorandom, implying that $c_i$ is a cryptographically sound (i.e., secure) encryption of $x_i$, that therefore reveals nothing about $x_i$. In order to search the database for attribute $p$, the client obtains a challenge from the smartcard for $k_{test}$ and sends it to the server. If the server agrees that the client can carry out a search, it computes the response and sends it back. The client then computes $t = F_k(p)$ and $u = F_k(t)$ using the smartcard. If there exists an $i$ for which $t = t_i$, then the client decrypts $c_i$ using the key $u$, obtaining the record $x_i$ as required. Note that the server has no way of knowing the search query of the client. Furthermore, the client cannot carry out the search without explicit approval from the server, and thus the number of searches can be audited and limited (if required for privacy purposes), or a charge can be issued (if a pay-per-search system is in place).
We warn that the above description is not a fully secure solution. To start with, it is possible for a client to use the key $k$ to compute $t$ and $t'$ for two different values $p$ and $p'$. Although this means that the client will not be able to obtain the corresponding records $x$ and/or $x'$, it does mean that it can see whether the two values $p$ and $p'$ are in the database (something which it is not supposed to be able to do, because just the existence of an identifier in a database can reveal confidential information). We therefore use two different keys $k_1$ and $k_2$; $k_1$ is used to compute $t$ and $k_2$ is used to compute $u$. In addition, we don’t use $u$ to directly encrypt $x$ and use the smartcard with a third key $k_3$ (this is needed to enable a formal reduction to the security of the encryption scheme).

### 4.1 The Functionality

We begin by describing the *functionality* for the problem of oblivious database search. In the case of set-intersection above, it sufficed for us to define a simple function mapping the parties inputs to specified outputs. However, here we consider an inherently interactive setting where the client carries out multiple searches, one after another. In order to formally define the security of a protocol for this problem, we need to define the input/output behavior of the problem being solved. This is achieved by describing the algorithmic input/output behavior of the functionality as if it is computed by an external entity. *We stress that in reality there is no external entity and this is used only for the sake of defining the desired input/output behavior.* We remark that a real protocol that is run between the parties over the network is secure if its output is essentially the same as that of the functionality (as described), even if some of the parties maliciously attack the protocol. The functionality that we define is *interactive*: the server $P_1$ first sends the database and the client can then carry out searches. We stress that the client can choose its queries adaptively, meaning that it can choose what keywords to search for after it has already received the output from previous queries. However, each query must be explicitly allowed by the server (this allows the server to limit queries or to charge per query). We first present a basic functionality and then a more sophisticated one:

<table>
<thead>
<tr>
<th>The Oblivious Database Search Functionality $\mathcal{F}_{\text{basicDB}}$</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Initialize:</strong> Upon receiving from $P_1$ a message $(\text{init}, (p_1, x_1), \ldots, (p_N, x_N))$, if init = 0, functionality $\mathcal{F}<em>{\text{basicDB}}$ sets init = 1, stores all pairs and sends $(\text{init}, N)$ to $P_2$. If init = 1, then $\mathcal{F}</em>{\text{basicDB}}$ ignores the message.</td>
</tr>
<tr>
<td><strong>Search:</strong> Upon receiving a message retrieve from $P_2$, functionality $\mathcal{F}<em>{\text{basicDB}}$ checks that init = 1 and if not it returns notInit. Otherwise, it sends retrieve to $P_1$. If $P_1$ replies with allow then $\mathcal{F}</em>{\text{basicDB}}$ forwards allow to $P_2$. When $P_1$ replies with $(\text{retrieve}, p)$, $\mathcal{F}_{\text{basicDB}}$ works as follows:</td>
</tr>
<tr>
<td>1. If there exists an $i$ for which $p = p_i$, functionality $\mathcal{F}_{\text{basicDB}}$ sends $(\text{retrieve}, x_i)$ to $P_2$</td>
</tr>
<tr>
<td>2. If there is no such $i$, then $\mathcal{F}_{\text{basicDB}}$ sends notFound to $P_2$.</td>
</tr>
<tr>
<td>If $P_1$ replies with disallow, then $\mathcal{F}_{\text{basicDB}}$ forwards disallow to $P_2$.</td>
</tr>
</tbody>
</table>

Figure 1: The basic oblivious database search functionality

The main drawback with $\mathcal{F}_{\text{basicDB}}$ is that the database is completely static and updates cannot be made by the server. We therefore modify $\mathcal{F}_{\text{basicDB}}$ so that *inserts* and *updates* are included. An *insert* operation adds a new record to the database, while an *update* operation makes a change to the $x$ portion of an existing record. We stress that in an update, the previous $x$ value is not
erased, but rather the new value is concatenated to the old one. We define the functionality in this way because it affords greater efficiency. Recall that in our protocol, the client holds the entire database in encrypted form. Furthermore, the old and new $x$ portions are encrypted with the same key. Thus, if the client does not erase the old encrypted $x$ value, it can decrypt it at the same time that it is able to decrypt the new $x$ value. Another subtlety that arises is that since inserts are carried out over time, and the client receives encrypted records when they are inserted, it is possible for the client to know when a decrypted record was inserted. In order to model this, we include unique identifiers to records; when a record is inserted, the ideal functionality hands the client the identifier of the inserted record. Then, when a search succeeds, the client receives the identifier together with the $x$ portion. This allows the client in the ideal model to track when a record was inserted (of course, without revealing anything about its content). Finally, we remark that our solution does not efficiently support delete commands (this is for the same reason that updates are modeled as concatenations). We therefore include a reset command that deletes all records. This requires the server to re-encrypt the entire database from scratch and send it to the client. Thus, such a command cannot be issued at too frequent intervals. See Figure 2 for the full definition of $F_{DB}$.

The Oblivious Database Functionality $F_{DB}$

Functionality $F_{DB}$ works with a server $P_1$ and client $P_2$ as follows (the variable init is initially set to 0):

**Insert:** Upon receiving a message $(\text{insert}, p, x)$ from $P_1$, functionality $F_{DB}$ checks that there is no recorded tuple $(id_i, p_i, x_i)$ for which $p = p_i$. If there is such a tuple it ignores the message. Otherwise, it assigns an identifier $id$ to $(p, x)$, sends $(\text{insert}, id)$ to $P_2$, and records the tuple $(id, p, x)$.

**Update:** Upon receiving a message $(\text{update}, p, x)$ from $P_1$, functionality $F_{DB}$ checks that there is a recorded tuple $(id_i, p_i, x_i)$ for which $p = p_i$. If there is no such tuple it ignores the message. Otherwise it updates the tuple, by concatenating $x$ to $x_i$.

**Retrieve:** Upon receiving a query $(\text{retrieve}, p)$ from the client $P_2$, functionality $F_{DB}$ sends retrieve to $P_1$. If $P_2$ replies with allow then:

1. If there exists a recorded tuple $(id_i, p_i, x_i)$ for which $p = p_i$, then $F_{DB}$ sends $(id_i, x_i)$ to $P_2$.
2. If there does not exist such a tuple, then $F_{DB}$ sends notFound to $P_2$.

**Reset:** Upon receiving a message reset from $P_1$, the functionality $F_{DB}$ sends reset to $P_2$ and erases all entries.

Figure 2: A more comprehensive database functionality

4.2 A Protocol for Securely Computing $F_{\text{basicDB}}$

We first present a protocol for securely computing the basic functionality $F_{\text{basicDB}}$. Let $F$ be an efficiently invertible pseudorandom permutation over $\{0, 1\}^n$ with keys that are uniformly chosen from $\{0, 1\}^n$ (in practice, $F$ is a block cipher like 3DES or AES). We define a keyed function $\hat{F}$ from $\{0, 1\}^n$ to $\{0, 1\}^{\ell n}$ by

$$\hat{F}_k(t) = (F_k(t + 1), F_k(t + 2), \ldots, F_k(t + \ell))$$

where addition is modulo $2^n$. We remark that $\hat{F}_k$ is a pseudorandom function when the input $t$ is uniformly distributed (this actually follows directly from the proof of security in counter mode for
block ciphers). We assume that all records in the database are exactly of length $\ell n$ (and that this is known); if this is not the case, then padding can be used.

In our protocol, we use a challenge/response mechanism in the smartcard to restrict use of cryptographic keys. For the sake of concreteness, we assume that the response to a challenge $\text{chall}$ with key $k_{\text{test}}$ is $F_{k_{\text{test}}} (\text{chall})$ where $F$ is a pseudorandom permutation as above. This makes no difference, and we define it this way for the sake of concreteness only.

**Protocol 3** (oblivious database search – basic functionality $F_{\text{basicDB}}$)

- **Smartcard initialization:** Party $P_1$ chooses three keys $k_1, k_2, k_3 \leftarrow \{0,1\}^n$ and imports them into a smartcard $SC$ for use for a pseudorandom permutation. In addition, $P_1$ imports a key $k_{\text{test}}$ as a test object that protects them all by challenge/response. Finally, $P_1$ sets the access-granted counter of $k_1$ and $k_2$ to 1, denoted respectively by $\text{AG}_1$, $\text{AG}_2$, (and sets no access-granted counter of $k_3$). See Section 2 for the definition of an access-granted counter.

$P_1$ sends $SC$ to $P_2$ (this takes place before the protocol below begins). Upon receiving $SC$, party $P_2$ checks that there exist three keys with the properties defined above; if not it outputs $\bot$ and halts.\(^5\)

- **The protocol:**
  
  - **Initialize:** Upon input ($\text{init}, (p_1, x_1), \ldots, (p_N, x_N)$) for party $P_1$, the parties work as follows:
    1. $P_1$ randomly permutes the pairs $(p_i, x_i)$.
    2. For every $i$, $P_1$ computes $t_i = F_{k_1}(p_i)$, $u_i = F_{k_2}(t_i)$ and $c_i = \tilde{F}_{k_3}(t_i) \oplus x_i$.
    3. $P_1$ sends $(u_1, c_1), \ldots, (u_N, c_N)$ to $P_2$ (these pairs are an encrypted version of the database).
    4. Upon receiving $(u_1, c_1), \ldots, (u_N, c_N)$, party $P_2$ stores the pairs and outputs ($\text{init}, N$).

  - **Search:** Upon input ($\text{retrieve}, p$) for party $P_2$, the parties work as follows:
    1. $P_2$ queries $SC$ for a challenge, receiving $\text{chall}$. $P_2$ sends $\text{chall}$ to $P_1$.
    2. Upon receiving $\text{chall}$, if party $P_1$ allows the search it computes $\text{resp} = F_{k_{\text{test}}} (\text{chall})$ and sends $\text{resp}$ to $P_2$. Otherwise, it sends $\text{disallow}$ to $P_2$.
    3. Upon receiving $\text{resp}$, party $P_2$ hands it to $SC$ in order to pass the test. Then:
      (a) $P_2$ uses $SC$ to compute $t = F_{k_1}(p)$ and $u = F_{k_2}(t)$.
      (b) If there does not exist any $i$ for which $u = u_i$, then $P_2$ outputs $\text{notFound}$.
      (c) If there exist an $i$ for which $u = u_i$, party $P_2$ uses $SC$ to compute $r = \tilde{F}_{k_3}(t)$; this involves $\ell$ calls to $F_{k_3}$ in $SC$. Then, $P_2$ sets $x = r \oplus c_i$ and outputs ($\text{retrieve}, x$).

Clearly, $P_1$ learns nothing about $P_2$’s queries because the only values that $P_1$ sees are random challenges issued by the smartcard. Furthermore, $P_2$ can only query the database when $P_1$ explicitly allows it (because $P_2$ is unable to past the test without $P_1$’s help). Finally, $P_2$ can only learn a single value per query because each row of the database is encrypted using a different $t$ value and without a correct first query, it is not possible to find a valid $t$. A full proof of security is provided in [17], demonstrating that the above intuition is sound. We thus have the following theorem:

**Theorem 4** Assume that $F$ is a strong pseudorandom permutation over $\{0,1\}^n$ and let $\tilde{F}$ be as defined above. Then, Protocol 3 securely computes $F_{\text{basicDB}}$.\(^5\)

\(^5\)Not all smartcards allow checking the properties of keys. If not, this will be discovered the first time a search is carried out and then $P_2$ can just abort then.
4.3 A Protocol for Securely Computing $\mathcal{F}_{\text{DB}}$

A protocol for securely computing the more sophisticated functionality $\mathcal{F}_{\text{DB}}$ can be derived directly from Protocol 3. Specifically, instead of sending all the pairs $(u_i, c_i)$ at the onset, $P_1$ sends a new pair every time an insert is carried out. In addition, an update just involves $P_1$ re-encrypting the new $x_i$ value and sending the new ciphertext $c'_i$. Finally, a reset is carried out by choosing new keys $k_1, k_2, k_3$ and writing them to the smartcard (deleting the previous ones). Then, any future inserts are computed using these new keys. Of course, the new keys are written to the smartcard using secure messaging, as we have described above.

5 Oblivious Document Search

In Section 4 we showed how a database can be searched obliviously, where the search is based only on a key attribute. Here, we show how to extend this to a less structured database, and in particular to a corpus of texts. In this case, there are many keywords that are associated with each document and the user wishes to gain access to all of the documents that contain a specific keyword. A naive solution would be to define each record value so that it contains all the documents which the keyword appears in. However, this would be horrifically expensive because the same document would have to be repeated many times. We present a solution where each document is stored (encrypted) only once, as follows.

Our solution uses Protocol 3 as a subprotocol. The basic idea is for the parties to use $\mathcal{F}_{\text{basicDB}}$ to store an index to the corpus of texts as follows. The server chooses a random value ($\text{encrypted}$ only once, as follows. We present a solution where each document is stored $\mathcal{F}_{\text{basicDB}}$, enabling $P_2$ to search it obliviously. In addition, $P_1$ encrypts document $D_i$ using a smartcard and $s_i$ in the same way that the $x_i$ values are encrypted using $t_i$ in Protocol 3. Since $P_2$ is only able to decrypt a document if it has the appropriate $s_i$ value, it can only do this if it queried $\mathcal{F}_{\text{basicDB}}$ with a keyword $p$ that is in document $D_i$. Observe that in this way, each document is only encrypted once.

Let $\mathcal{P}$ be the space of keywords of size $M$, let $D_1, \ldots, D_N$ denote $N$ text documents, and let $P_i = \{p_i\}$ be the set of keywords that appear in $D_i$ (note $P_i \subseteq \mathcal{P}$). Using this notation, when a search is carried out for a keyword $p$, the client is supposed to receive the set of documents $D_i$ for which $p \in P_i$. We now proceed to formally define the oblivious document search functionality $\mathcal{F}_{\text{doc}}$:

<table>
<thead>
<tr>
<th>The Oblivious Document Search Functionality $\mathcal{F}_{\text{doc}}$</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Functionality</strong> $\mathcal{F}_{\text{doc}}$ works with a server $P_1$ and client $P_2$ as follows (the variable init is initially set to 0):</td>
</tr>
<tr>
<td><strong>Initialize:</strong> Upon receiving from $P_1$ a message (init, $\mathcal{P}, D_1, \ldots, D_N$), if init = 0, functionality $\mathcal{F}<em>{\text{doc}}$ sets init = 1, stores all documents and $\mathcal{P}$, and sends (init, $N, M$) to $P_2$, where $N$ is the number of documents and $M$ is the size of the keyword set $\mathcal{P}$. If init = 1, then $\mathcal{F}</em>{\text{doc}}$ ignores the message.</td>
</tr>
<tr>
<td><strong>Search:</strong> Upon receiving a message search from $P_2$, functionality $\mathcal{F}<em>{\text{doc}}$ checks that init = 1 and if not it returns notInit. Otherwise, it sends search to $P_1$. If $P_1$ replies with allow then $\mathcal{F}</em>{\text{doc}}$ forwards allow to $P_2$. When $P_2$ replies with (search, $p$), $\mathcal{F}_{\text{doc}}$ works as follows:</td>
</tr>
<tr>
<td>1. If there exists an $i$ for which $p \subseteq P_i$, functionality $\mathcal{F}<em>{\text{doc}}$ sends (search, ${D_i}</em>{p \subseteq P_i}$) to $P_2$.</td>
</tr>
<tr>
<td>2. If there is no such $i$, then $\mathcal{F}_{\text{doc}}$ sends notFound to $P_2$.</td>
</tr>
<tr>
<td>If $P_1$ replies with disallow, then $\mathcal{F}_{\text{doc}}$ forwards disallow to $P_2$.</td>
</tr>
</tbody>
</table>

Figure 3: Oblivious document search via keywords
Our protocol uses an additional tool of perfectly-hiding commitment scheme denoted by \((\text{com}, \text{dec})\) that enables a party to commit to a value while keeping it secret (even from all powerful adversary); see [12] for a formal definition. We let \(\text{com}(m; r)\) denotes the commitment to a message \(m\) using random coins \(r\). For efficiency, we instantiate \(\text{com}(\cdot; \cdot)\) with Pedersen’s commitment scheme [23]. Assume, for simplicity, that \(q−1 = 2q'\) for some prime \(q'\), and let \(g, h\) be generators of a subgroup of \(\mathbb{Z}_q^*\) of order \(q'\). A commitment to \(m\) is then defined as \(\text{com}(m; r) = g^m h^r\) where \(r \leftarrow \mathbb{Z}_{q−1}\).

The scheme is perfectly hiding as for every \(m, r, m'\) there exists \(r'\) such that \(g^m h^r = g^{m'} h^{r'}\). The scheme is binding assuming hardness of computing \(\log_g h\).

We now present the protocol for securely computing \(F_{\text{doc}}\). Recall that our protocol uses a subprotocol computing \(F_{\text{basicDB}}\); for clarity we present the protocol referring to \(F_{\text{basicDB}}\) itself.

**Protocol 5** (oblivious document search by keyword)

- **Smartcard initialization:** Party \(P_1\) chooses a key \(k \leftarrow \{0, 1\}^n\) and imports it into a smartcard \(SC\) for use for a pseudorandom permutation. \(P_1\) sends \(SC\) to \(P_2\) (this takes place before the protocol below begins).
  
- **The protocol:**
  - **Initialize:** Upon input \((\text{init}, P, D_1, \ldots, D_N)\) to \(P_1\), the parties work as follows:
    1. The server \(P_1\) initializes a smartcard with a key \(k\) for a pseudorandom permutation, and sends the smartcard to \(P_2\).
    2. \(P_1\) chooses random values \(s_1, \ldots, s_N \in_R \{0, 1\}^n\) (one random value for each document), and sends \(P_2\) the commitments \(\{\text{com}_i = \text{com}(s_i; r_i)\}_{i=1}^N\) where \(r_1, \ldots, r_N\) are random strings of appropriate length.
    3. Then, \(P_1\) defines a database of \(N\) records \((p_j, x_j)\) where \(p_j \in P\) is a keyword, and \(x_j = \{(i, (s_i, r_i))\}_{i \in D_j}\) (i.e., \(x_j\) is the set of pairs \((i, (s_i, r_i))\) where \(i\) is such that \(p_j\) appears in document \(D_i\)). Finally, it encrypts each document \(D_i\) by computing \(C_i = \hat{F}_k(s_i) \oplus D_i\) (see Section 4.2 for the definition of \(\hat{F}\)).
    4. \(P_1\) sends \(C_1, \ldots, C_N\) to \(P_2\), and sends \((\text{init}, (p_1, x_1), \ldots, (p_M, x_M))\) to \(F_{\text{basicDB}}\).
    5. Upon receiving \(\text{com}_1, \ldots, \text{com}_N\) and \(C_1, \ldots, C_N\) from \(P_1\) and \((\text{init}, M)\) from \(F_{\text{basicDB}}\), party \(P_2\) outputs \((\text{init}, N, M)\).
  - **Search:** Upon input \((\text{search}, p)\) to \(P_2\), the parties work as follows:
    1. The client \(P_2\) sends \((\text{retrieve}, p)\) to \(F_{\text{basicDB}}\) and receives back a set \(x = \{(i, (s_i, r_i))\}\).
    2. For every \(i\) in the set \(x\), party \(P_2\) verifies first that \(\text{com}_i = \text{com}(s_i, r_i)\). If the verification holds it uses the smartcard to compute \(D_i = \hat{F}_k(s_i) \oplus C_i\).
    3. \(P_2\) outputs \((\text{search}, \{D_i\})\) where \(\{D_i\}\) is the set of documents obtained above.

Intuitively, the protocol is secure because the only way that \(P_2\) can decrypt document \(D_i\) is to learn \(s_i\). However, by the security of \(F_{\text{basicDB}}\), party \(P_2\) can only learn \(s_i\) when it searches for a keyword \(p_j\) for which \(p_j \in D_i\). This intuition is formalized in a full proof of the following theorem, that can be found in [17].

**Theorem 6** Assume that \(F\) is a pseudorandom permutation over \(\{0, 1\}^n\) and let \(\hat{F}\) be as defined in Section 4.2. Then, Protocol 5 securely computes \(F_{\text{doc}}\) when Protocol 3 is used in place of the trusted party computing \(F_{\text{basicDB}}\).
6 Conclusions and Future Directions

We have shown that standard smartcards and standard smartcard infrastructure can be used to construct secure protocols that are orders of magnitude more efficient than all previously known solutions. In addition to being efficient enough to be used in practice, our protocols have full proofs of security under the most stringent definitions of security. No cryptographic protocol for a realistic model has achieved close to the level of efficiency of our protocols. Finally, we note that since standard smartcards are used, it is not difficult to deploy our solutions in practice (especially given the fact that smartcards are become more and more ubiquitous today).

We believe that this model should be studied further with the aim of bridging the theory and practice of secure protocols. In addition to studying what can be achieved in the preferred setting where only standard smartcards are used, it is also of interest to construct highly efficient protocols that use special-purpose smartcards that can be implemented in Java applets on Javacards.

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References


